VERNACULAR ARCHITECTURE - WHERE DO THE SYMBOLIC MEANINGS COME FROM?

Some notes regarding the "anthropology of the house"

by Nold Egenter

1. INTRODUCTION

The 'Encyclopedia of Vernacular Architecture of the World' (EVAW) edited by Paul Oliver can be considered as a milestone in global house research. It has raised the ethnology of the house and of dwelling to a new global level. What conventionally was attributed to folklore studies too is included. In view of this global collection of data related to traditional house cultures it is not surprising that expressions like 'the anthropology of the house' and 'anthropology of architecture' are increasingly used. Questioning the origins of house traditions, their relation to social structure and their often dense symbolisms has become a new standard.

Introduction

Fig. 1, 2, 3



Fig. 1: What a strange building! It seems basically geometrically conceived like a house or a houselike container on a post. On the other hand it shows a tremendous dynamism in regard to what we call decoration. There is a bird on top and for the rest we see fernlike protrusions with subdivisions and in many directions mainly above the roof. (Salong Mausoleum from Rumah Laseh, Kejaman, Sarawak; Dorothy Pelzer, 1968 [Waterson 1990:201])



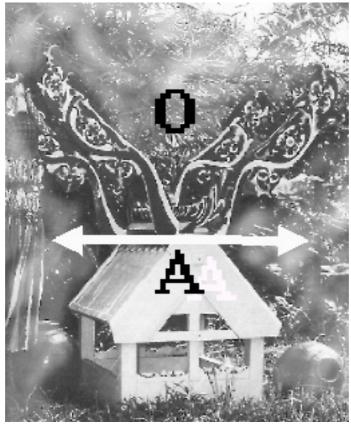


Fig. 2: Here too, the rooftop is protruding. And like in the first example: birds. Do they indicate a relation with the heavens, with the cosmos? This is the way many peoples interpret such symbolisms. But probably there is another way to understand this, more related to architecture. (House shaped tomb (joro) at Lumban Silambi, Toba; Bartlett 1934 [Waterson 1990:212])

Fig. 3: Maybe this example might help us with a somehow more simple model. It is closely related to the above example (Festival to appease the dead). It shows four strongly curved-types of branches protruding from a small whitewashed hut. Seen with the naturalists eyes we never would be able to understand what is meant. The lower part is artificial, Architecture (A). The upper part is natural, evidently vital. Both parts are structurally and physically related. However it is difficult to understand why: it seems to be a 'Maximum-Contrast-Form'. Above / below, natural/ artificial, stable/ dynamic. (O) in contrast to (A) for Architecture and stability, place etc.. We call the principle in this maximum contrast form "categorical polarity", or "polar harmony". Note also that, though very different in their specific forms, both roofs have something in common, 'categorical polarity'. We can say: there is a '(categorically)polar analogy' among them. They are both quasi identical in regard to the principle of polar harmony. thus, they are not only aesthetically balanced, they represent an order. If we assume that this balanced order can be small or spatially extended into the relation of heaven and earth, we can say that our two houses are the basic foundation of a potentially harmonious world order implying "All in One and One in All" ('Hen kai pan' in ancient Greece). Note that we are speaking of a basically aesthetic concept, which in fact has a universally harmonious and unifying meaning. (Iban 'sungkup' burial hut made for the great festival to entertain the dead, 'Gawai Antu'; Sandin 1963 [Waterson 1990:201]

Let us have a look at a photo (**Fig. 1**) published by Roxana Waterson in her book on Southeast Asian vernacular architecture with the title 'The living House' (1990). The picture shows a small houseform used as a Mausoleum. The roof is surrounded by wildly protruding plant symbolisms. A kind of vernacular Baroque? How can we understand such 'dynamic' decorations of roofs and houseforms? **Fig. 2 and 3** give some further examples. Maybe this world adheres to a particular deep-rooted philosophy which we may know from other regions of the world? For instance in China where, on sacred buildings, we find wild dragons on dynamically curved roofs, contrasting immensely with the 'rigid rationality' of the lower part of the structure?

Evidently, such questions can not easily be answered. First, because conventional anthropology is a fairly heterogeneous discipline with numerous subdisciplines, views, approaches, theories. In addition most of these subdisciplines and approaches are formed by the European history of culture and thus often project prejudices on the materials rooted in other cultures.

Thus the methods to do research with traditional house cultures are still very heterogeneous, as can be seen in the first volume of the encyclopedia with its numerous disciplinary, culturo-theoretical, and environmental perspectives. In addition we have the countless objective conditions of 'design' and production as they are described in volume 2 and 3.

In fact, the vernacular house is a highly complex phenomenon. We risk endless approaches without really understanding the essence of the house. In the following we want to shortly outline how cultural anthropology understands the 'anthropology of the

house'. Basically there are the following questions:

- 1) Is the vernacular house merely the result of external factors that were perceived by humans and used to produce house forms?
- 2) Or was it rather the human being producing ideas which were matrialized and kept through time, thus producing often bizarre forms as an expression of the human mind?
- 3) Or, can we understand the house itself and its precursors as a relatively autonomous development, which autonomously produced structural conditions which were perceived by human beings, were then actively recreated and further developed?

Most anthropologists and architectural house researchers would emphasise points one and two in combination. Most would be sceptical in regard to point 3, or would even reject it completely. Later on we want to show that point 3 makes sense and allows best to explain the great variety of forms and arrangements. But first we will shortly outline the conventional arguments.

2. THE CONVENTIONAL ANTHROPOLOGY OF THE TRADITIONAL HOUSE

The origins of the vernacular house

Let us first do a short excursion by following the entry 'Anthropology' in the first volume of the Encyclopedia of Vernacular Architecture of the World. Reimar Schefold gives a good outline of the problem of the anthropological approach towards vernacular architecture.

Factor-analysis [Rapoport]: Amos Rapoport was one of the first who in new ways studied traditional house forms worldwide with a specifically developed method. His factor analysis, as it can be called, is known today to a wider public interested in architecture and is also still used by many in spite of its highly problematic structure. Rapoport has basically interpreted house forms as individual units, which is a rather problematic assumption. In fact the traditional house is, in whatever culture, always an accumulation of various and different lines of development. The roof is a primary evolutionary line. Similarly the entrance, the window and the walls have their own developments. Similarly the fireplace, the hearth, the stove. All these components have their own lines of development and can combine to form this or that type of house according to various conditions. Consequently Rapoport's method is a rationalistic approach, which deforms our view rather than clarifying our understanding of the traditional house.

Sociocultural factors [Morgan]: house forms can also be strongly related to patterns of cultural behaviour, of cultural values and worldviews. Lewis Henry Morgan had been a precursor of such studies using the method of factor analysis. He identified

various types of social behaviour and customs which could be related to the longhouse among Indian tribes researched. Morgan's basic framework was his theory on the social evolution of mankind (savagery, barbarism, civilisation) which he combined with an evolution of family structure (promiscous, monogamy, patriarchal monogamy) and further also with data related to the "Houses and House-life of American Aborigines" (1881). Among the Iroquois who - acording to his classification - lived a primitive communism, he interpreted the longhouse in regard to this communal life, particularly in regard to the capacity to invite outsiders and to offer them great hospitality. But, in this case too, one will have to remain sceptical in regard to such unilinear correlations. They transmit the impression of a purely functional first hand evaluation.

Symbolic conceptions [Griaule/ Dieterlen]: Referring to Martin Griaule and Germaine Dieterlen (1954/1963) Schefold maintains further that symbolic conceptions are culturally important paradigms. Concepts of the adequate order and relations in the "social and cosmic universe" can play an important role in the construction of a house. Griaule and Dieterlen's report about the Dogon huts in Mali had shown how a "very complex cosmology" finds its expression in an "esoteric anthropomorphical symbolism" which influences the plan of the houses and settlements and also defines their form (Fig. 4). Such studies doubtless produce a certain fascination because they reveal an unexpected spiritual grandeur in what is considered simple in the framework of conventional ideas of primitivism. However, such studies mainly based on interviews should also sceptically be questioned. For many researchers the expressions 'cosmos' and 'cosmology' are very quick at hand. A closer view shows that the emphasis of the term is on the concept of a well structured or aesthetic order. In its European history this could spatially be extremely limited. In Ancient Greece it could mean a local military order or could be limited on the face like 'cosmetics' (Kerschensteiner 1962). From the 14th century in Europe the term was used in astronomy and thus gained its universal dimensions. Evidently anthropological research is unbiasedly ready to accept such terms. They are filled with Eurocentric contents without critically asking about the factual local implications. Here too there are great risks to commit mistakes which should be avoided.

Multiple factor thesis [Schefold]: Finally Schefold gives his own overall conclusion saying that there are many factors defining houseform. One of these factors can be of great importance, but the interaction of several factors can be equally important. Referring to the cross culturally comparative method of anthropology or ethnology Schefold emphasises the importance of traditions. Communally important conditions may have developed basic forms among some groups or cultures, whereas considerable variations are shown among neighbouring or related units. It is clear, the comparative method of anthropology lacks history. Neither written nor monumental sources are available. Consequently the insights remain vague and often speculative. We can not maintain anything of a really reliable character. If on the other hand house research - by means of technological periodisation for instance - manages to introduce new temporal criteria, this might be of considerable importance.

Conventional Anthropology: an example Fig. 4

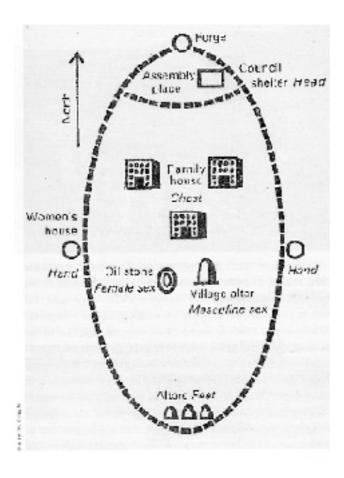


Fig. 4: Schefold mentions this example of an "anthropomorphism in the idealised plan of a Dogon settlement". Evidently there is not much similarity with our Eurocentric view of the human figure. It would be interesting to clarify these indicators further with the attempt to be able to understand the conditions of the whole image behind. (EVAW 1/:7)

The symbolic meaning of the vernacular house

Symbolic dimensions: Not all anthropological studies are focused on genetic factors in regard to houseform. Schefold interpretes symbolic meanings in a similarly important autonomous dimension. "After all, in most traditional societies the house is man's most important three dimensional creation. It creates space within space, it places borders around a piece of the universe and, in so doing," Schefold continues, the house "is the

thing which obviously can serve as an expression of conceptions about the world in its entirety." Evidently this suggestion too has a projective character. If we assume that the cultural process of space conception runs from local models to the environmental and, very late in cultural history, to the universal, then, the basic ontology or 'worldview' must consequently be searched in an environmental model or 'microcosmic' prototype, not in the universe.

Schefold mentions examples related to three different types of symbolic meanings: cosmos symbolism [Eliade], social organisation [Cunningham] and gender symbolism [Bourdieu]. We want to critically discuss these three groups in the following.

Cosmos-symbolism [Eliade]: Schefold operates with wellknown theories in this context, as in the following example, implicitly referring to Mircea Eliade (1995a, b). In many regions of Southeast-Asia - he says - the longhouse is considered a symbolic representation of a three partite cosmos. Heaven, earth and underworld appear in the symbolic meaning attributed to the roof, the living rooms and the basis below. "The house thus forms a miniature cosmos, micro-cosmos." Evidently this interpretation reflects Mircea Eliade's spatial structuralism. In early civilisations and before, man had become overhelmed in view of the endless spaces of the cosmos and therefore, honouring these dimensions, reproduced these axial systems on earth in his environment 'en miniature' as sacred places, temples and so on. Of course, as indicated above, this is also a (theologically founded) Eurocentric concept. However, if we rather emphasise evolutionary principles of hominid space perception, Mircea Eliade can also be interpreted inversely. We would then have to say: 'the house traditions mentioned show a stereotype order of categorical polarity, which reflects analogies to the environment perceived in tripartite ways of heaven, intermediate world and lower world. The origins of this perception are not assumed in the perception of macrocosmic structural conditions as it is maintained in the case of Eliade, but are considered to be related to a model within the tradition of the society researched. The concept of 'macrocosm' in this evolutionary view would thus be merely a vague speculation, a structural projection using the local model.

Social organisation [Durckheim/ Mauss, Rassers; Cunningham]: The second group too shows its strong leaning to the Eurocentric tradition of theories: French positivism, in particular Durckheim and Mauss with the thesis of the social origins of symbolic classification. In this context Schefold hints to Rasser's survey of the Javanese house. Its internal divisions show the attribution of a sacred, closed and female internal part with a profane open and male part. Rassers interpretes this as a socio-cosmic dualism which - according to him - is characteristic for Java in general. Here too one should be aware that the primary conditions of this concept are related to macrocosmic dimensions. Evidently it would be more difficult to search the microcosmic prerequisites in Javanese culture. However, the primacy of social organisation as maintained by positivism has been questioned, says Schefold. But the "holistic view remains that various aspects of a culture are interconnected in an all-embracing order, the basis for all structural anthropological approaches." Cunningham's study of the Atoni on Timor shows how social (lineage), political and cosmological oppositions

define the House of the Atoni and the life within. They can be taken as an example how traditional societies lacking script can store their ideas within the house. This may be valid for those living within the concerned tradition, but might be much more difficult to understand for those who come from outside somehow like 'aliens'.

Gender-symbolism [Bourdieu]: Finally Schefold hints to Pierre Bourdieu's analysis of the Berber house (1972) which is described as strongly characterised by gender symbolisms. Female categories, which imply darkness, are connected to the internal part of the house. This stands in strong contrast to male aspects indicating outside. They are considered cultural, are implying fertilising capacities and are related to bright light. Correspondingly, the main gate is important. It has to be in the east, letting the virile light enter. Here too we can take these informations as they are and attribute them to a general symbolic disposition of man. On the other hand we can remain sceptical and ask for the models within the society concerned.

House societies: In an anthropology of the house, Levi-Strauss' indication (1982) on the importance of the term house ('societes de la maison') among many European aristocrats should not be neglected. In this framework, the house in its physical formation appears as a fundamental category, as objectification of common interests, however, limited on certain social strata. Ancient names, founder titles, ritual functions and sacred heritage were related to such a 'moral person of the house'. It forms the point of convent for group formations.

The projection of euro-historical disciplines

The problem of all these heterogeneous interpretations consists in the fact that to a great extent they project Euro-historically founded concepts on foreign materials. The methodological relations are not clarified. How do they all relate, these various symbolic meanings? Are they basically different from each other? Or do they seem different to us, only because we tend to classify them differently (anthropomophous, gender-relations, macro/ micro-cosmic, inside/ outside spatially etc.)? Are they connected in quite different ways than we would expect? Do these societies search for oppositions in general in order to combine them into harmonious units irrespective of their factual content? Are we confronted with an entirely different philosophy?

Schefold closes his contribution by emphasising the potentially fertile cooperation between architects and anthropologists, but he sees both domains fairly different. In the conventional sense of interdisciplinarity he thinks the two fields might be able to produce positive results by close cooperations.

However, this is a very onesided presentation. It is based on the idea that anthropology, particularly cultural anthropology, or social anthropology offers a sufficiently stable system, a system which can easily integrate architecture somehow as a new subdiscipline. But this is a far too simplified view. Conventionally it has to do with the professional 'low-contact factor' between anthropologists and architects.

This last sentence leads us to the other side. Architecture itself has also developed its own anthropological architectural theory, mainly as a reaction against an intraprofessional problem. We are speaking of the architectural crisis triggered by the art historians in the end 60ies of the last century. Some people call it the "Charles Jenckscoup"! It included the death-declaration of modernism and the return to style history [postmodernism]. It also postulated written history of architecture as architectural theory!

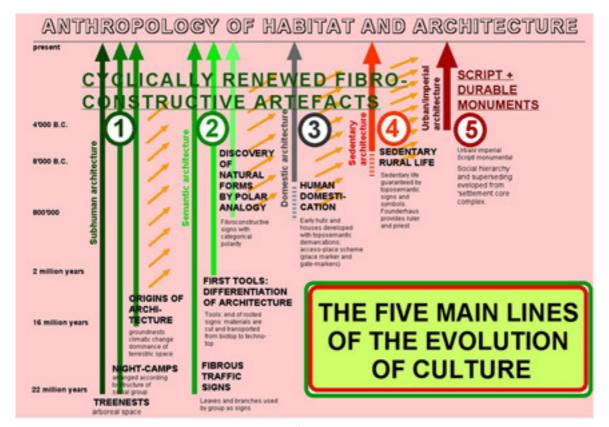
We want to shortly outline this new "intra-disciplinary" anthropology of architecture in the following.

3. THE ANTHROPOLOGY OF HABITAT AND ARCHITECTURE

Structure, Methods and new Outlooks

Its main starting points were the rather chaotic discussions between Modernism and Postmodernism in the domain of architecture. The new position critically points to the irrational dissection of aesthetically defined 'high' architecture and pragmatic 'low' building which is basically responsible for the hollow rhetorics in the architectural field at present (ca. 100 different styles, acc. to Jencks). Further, 'Vitruvianism' is considered as 'architectural theory', in fact a regress into 19th century concepts, or, in other words, a post-modern architectural fundamentalism. In addition, Rykwert's book 'On Adams House' (1972) searches the ideas of the 'primordial hut' in the Bible, which can be taken as an indicator for the complete helplessness of art historians if it comes to clarify evolutionary questions in regard to architecture (similarly Rykwerts 'dancing column' 1996)! See in this context also the incredibly narrow-minded 'librarywork-program' of the ETH-Z/ gta-institute under Oechslin. (Oechslin 2003)

The Evolution of Constructivity and Architecture Fig. 5



<u>enlarge</u>

- **Fig. 5:** Architectural Anthropology: This scheme shows the main lines of the evolution of constructivity and architecture.
- (1) shows the hominoid tradition of nestbuilding, treenests, nightcamps and groundnests with rooted materials, the latter forming the subhuman prototype of architecture.
- (2) A further important process is the evolution of the semantic domain: the use of fibrous and fibroconstructive signs and symbols. Most important in this line are the impacts of the first tools: materials can be cut and transported elsewhere for construction which allowed a great formal, functional and topological differentiation of early architecture. Equally important is the development of categorical polarity with semantic architecture. It forms a model to see categorial polarity of natural forms (e.g. top and trunk of tree, horns and head of animals etc.) in analogy with the categorial polarity of self made artefacts.
- (3) The later huts and houses are formed by the two primary lines.
- (4)The primary sedentary type is agrarian village, in which semantic architecture is the source of ontological developments.
- (5) The urban line takes over the agrarian system but manipulates it either by monumentalising (temples) or verbalising and fixing it in this form (e.g. AT). These transitions are of great importance even today. But many are not aware of these connexes and transitions. Conventional theories are fixed on history in the strict sense. The new traits only show when using structural history (ethno(pre-)history [or 'anthropological definition of material culture].

In this critical framework architecture is newly defined in scientific terms as "all what man and his predecessors built and build." (**Fig. 5**)This new anthropological definition leads us to some basic discoveries! First we become aware of a technologically new "fibroconstructive industry" which is of pre-lithic importance and suggests an entirely

new temporal framework to discuss architecture in an evolutionary dimension. Two different and new domains of architecture are discovered, "subhuman architecture" (**Fig. 6, 7**) and "semantic architecture" (**Fig. 8-12**). We gain a new classification (**Fig. 5**):

- (1) subhuman [nestbuilding behaviour of the Great Apes],
- (2) semantic [life-tree- fetish- maypole-complex],
- (3) domestic [vernacular architecture],
- (4) sedentary [settlement core complex] and
- (5) urban architecture [early city-states and the monumentalisation of fibroconstructive village cultures] (Egenter 1992).

Some general points

Methodologically this new classification of architecture calls for a new approach derived from "structural history" (Wernhardt 1981). It is called "ethno(pre-)historical method" (Egenter 1994a). Material culture is not studied conventionally according to different disciplines like prehistory, history and ethnology. It is defined anthropologically, that is collectively. This has great advantages for architectural research. In fact, architecture, with its endless examples of 'fibrous materials' used in ethnology and folklore cultures, now lets us discover a "soft prehistory" (Egenter 1986, 1990d) which might have been much more important than the "hard prehistory" of the archaeologists. 'Soft prehistory' produces new perspectives in regard to the 'artefact' concept. Most exciting is the new term 'prelithic fibroconstructive industries' because the artefact concept gains new temporal depth, particularly with the primary class of 'subhuman architecture' (see below).

A second important point: semantic architecture had important functions in the generation of sedentary life and culture. Its toposemantic characteristics allowed it to develop into a temporary or sedentary territorial demarcation system in which certain social individuals or groups transmitted their territorial claims to other individuals or social groups in the present, and, by cyclical renewal of the fibrous demarcation to later individuals or social groups. As a perceptional and conceptional model "semantic architecture" autonomously introduced and developed high ontological values which later became the basis of aesthetics, cognition, and religion (Egenter 2001).

Both classes also provide us with entirely new artefact depths. Architecture can now be considered with an artefact depth of 16 million years. And constructive behaviour can be considered with 22 million years of temporal depth. This will lead to quite new types of organisation of our knowledge regarding the human past. Constructive behaviour, achitecture and sedentary life will become very important factors in our theories of cultural evolution (Egenter 2001)

Fig. 5 shows these fairly new parameters outlined in a schema which lets us understand the evolution of architecture and culture in close relationship. Note that not the toolmaker is the central figure anymore, we put the capacity to construct with fibrous

materials ("constructivity", Yerkes 1929), and its products "architecture", "demarcated habitat" and "increasingly sedentary life", finally "monumentalisation of fibrous culture" into the centre of the process of hominisation. Important is also the degree of expanding space perception and space control. We can conclude a lot from the structural models we discover in this new perspective. There are essentially five evolutionary lines, all surviving into the present as 'living traditions'. The first three are most important here.

Continue to part 2

Notes

Bibliography

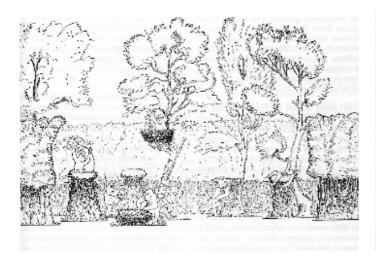
continued (part 2)

4. FIVE EVOLUTIONARY LINES

(1)Subhuman Architecture: 'Constructivity' Fig. 5, 6, 7

Subhuman Architecture





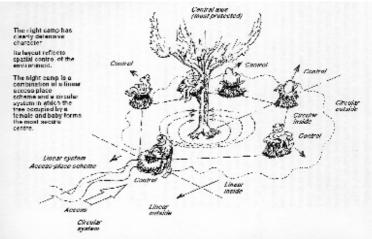


Fig. 6: Japanese primatologists have produced a measured plan of the nightcamp of a gorilla group (Izawa/Itani 1966, in Egenter 1983). This drawing shows a perspective into the night camp of this gorilla group, using the indicated measurements of Izawa and Itani. For better visibility the bamboo grove has been cleared on the drawing to give an impression how social relations work in this temporary settlement.

Fig. 7: Same arrangement like Fig. 6. Great Apes show a very clear sense for spatial order. The female and her baby have their nest in the centre and above the ground. They are protected by five other individuals including the dominant male with their tower like nests strategically protecting the centre. (Egenter 1992, 2001)

In the primate world constructive behaviour has deep roots (22 million years). A tremendous tradition, which - from the beginning takes place in arboreal space with nests partly high up in the trees. Nests are fibrous constructions with arms and hands as 'first tools'. The fabrication of tree nests includes things like the experience of self produced, reliable stability of a construction, the expression of security, of commmodity, of closeness in the social sense (Egenter 1983, 1990c).

In regard to the concept of 'settlement' it is important that nestbuilding and passing the night in nests happens in groups. Evidently there is some sort of a plan reflecting social structure and the defensive character in regard to predators around the night camp. Note that locomotion in arboreal space is difficult at night for the animals: stereoscopic view is blocked to a great extent.

About 16 million years ago space becomes mainly terrestric for Hominoids in Africa. Nests are dominantly built on the ground. Rooted materials like bamboostalks are knotted and fixed at a hight of ca. 2 meters, thus producing a tower like stable construction which on top carries the nest. The animal climbs up and spends the night on top of his construction (Egenter 1983, 2001). This can be considered as the beginning of architecture.

(2) Semantic Architecture:

topo-semantic functions and the model of categorical polarity (Fig. 5, Fig. 8-12, Fig. 13)

Semantic Architecture Fig. 8, 9, 10, 11, 12

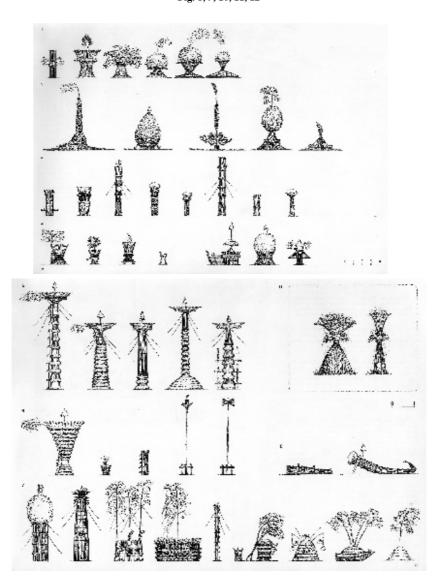


Fig. 8: In central Japan one hundred villages were researched at the time of their Shinto cult festivals. The results of the study were quite new in regard to religion. Cults could now be understood not from belief or verbal ideals, but, evidently the 'seats of Gods' showed their central content as socio-territorial demarcation. The cults were recognized as traditional local constitution, which provides basic structural conditions for the village: socially and politically (founderhouse), spatially (value focussed axis) as well as aesthetically and ontologically (PRO-portion = META-physics) (Egenter 1980, 1994a)

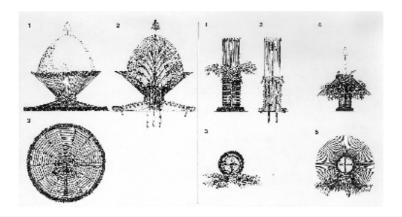


Fig. 9: One village composed by four hamlets shows the basic conditions of the spatial organisation of a village in the framework of what is called the

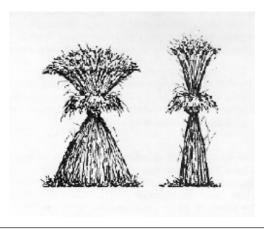


Fig. 10: Primary forms derived from the study: hutlike type and columntype. Both differ only quantitatively in regard to their basic diameter. In culture they have evolved differently as roofhut or roof and symbolic column. Important is their autonomous structure, a result of a grip of the hand without any preconceived idea. (Egenter 1980a 1994a)

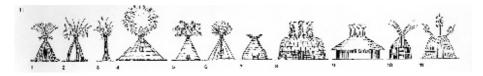


Fig. 11: Selection of hutlike types in various cultures

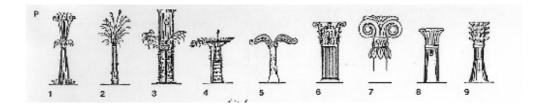


Fig. 12: Selection of column types in various cultures. Many of them were considered of highest values, being sacred or representing deities. The Djed pillar of Ancient Egypt for instance (9) was representative for the wellness of the Pharaoh's empire. (Egenter 1980a, 1994a)

Semantic factor: Essentially from the nest, but also in relation to traffic signs of greater apes (Savage-Rumbaugh 1996) we must assume that building included an increasingly important semantic dimension, the 'sign in the landscape' which developed into a particular class of 'semantic architecture'. It developed functions of socio-territorial control combined with food control. This type of architecture became extremely important for the evolution of human culture.

Early tools must have greatly stimulated and differentiated constructive behaviour. Tools allowed to cut materials and transport them somewhere else and combine them with other construction materials. A wide spectrum of forms is possible which we can reconstruct to some extent if we look at the material culture of traditional populations of hunters and collectors. Traps, nets, for animals and fishing, originally small round huts or tents and other small forms, basic means of transport, illumination, fire for cooking and warmth, etc.. In other words, we can assume a considerable equipment which did not show in the archaeological method (s. Egenter 1991a, 1994b, 2003).

Categorical polarity: Primary aesthetic factor. The most important development in the line of semantic architecture was 'categorical polarity'. It can be assumed as an autonomous technological development. The human hand forms a lower part by binding rooted stalks into a stable cone. A surplus part is forming a protruding element which remains naturally unbound and mobile. Two contradictive categories in one form. Aesthetically PRO-portion is born. The artificially produced 'categorical polarity' can be used to make analogies to similar structures of natural forms (top and trunk of trees, protruding parts of heads [horns, antlers], wings and body of birds, etc. (Egenter 1994a, 1994c). Did this comparative process of artificial form (semantic architecture) and natural forms contribute to the increasing size of the human brain from Homo habilis to Homo sapiens sapiens (ca. 2 My - 40 Ty). Did it form the 'discovery of nature'? Was it the first human system of cognition programmed to form aesthetic harmony?

Polar analogy: We can explain this analogy between two different forms structured according to the principle of 'categorical polarity' with the following formula, expressing 'polar analogy'.

$$O/A_1 = O/A_2 = O/A_n.$$

It implies natural or artificial objects 1, 2 ...n which may all be different in their form but which have something in common which makes them highly similar, quasi identical. It is their categorically contradictive structure, O/A, meaning e.g. upper part with dominantly 'dynamic' category over lower part with dominantly 'static' category, both parts forming a formal unit in objects 1, 2n. This common aspect is 'harmony between contradicting categories' in their forms. We have shown how this principle is effective e.g. in the Middle Ages and later and how it is misinterpreted by European Art historians (Egenter 1998b*, c*, d*)

(3) Domestic Architecture

Evidently this order is not invented by a prehistorical "Einstein". It is derived from models related to semantic architecture. In the case of the Ainu (**Fig. 14**) it is very clear: their whole society, their social, formal, spatial, aesthetic, religious, economical dimensions are structured into an enormously complex system of polar relations. 'Coincidence of oppposites' in the same form, in the same conceptual unit. Spatial, tectonic, architectural, or colour related on one hand, but also social, sexual or cosmic on the other side. Harmony regarding light and dark, regarding above and below, natural and artificial and so on. The whole environment with all its empirically and spiritually accessible parts is combined into a per/conceptual whole which is modelled according to sacred signs which express topos, verticality and categorical polarity at that place (Egenter 1991a).

Coordination of Semantic and Domestic architecture Fig. 13, 14, 15

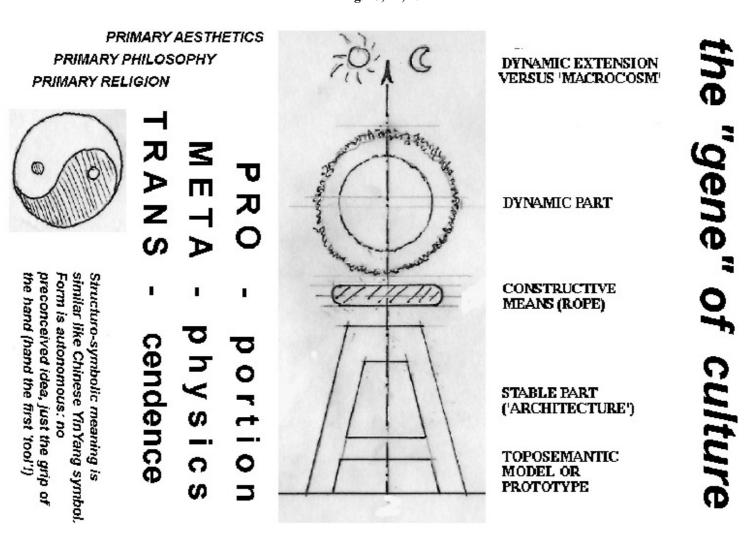


Fig. 13: Schematic representation of most important criteria of semantic architecture. The lower rooted part (A) forms a stable cone whereas the upper

part (O) remains natural and dynamic, both parts combined acting as a model similar like the Chinese YinYang symbol. In contrast to this abstracted graphic symbol the O/A structure forms a primary local vertical axial system which can be interpreted in spatially extensive ways. If we assume its universality in rural agrarian domains since neolithic times we could consider it some sort of the 'gene of culture' providing the basic structure of art, philosophy and religion.

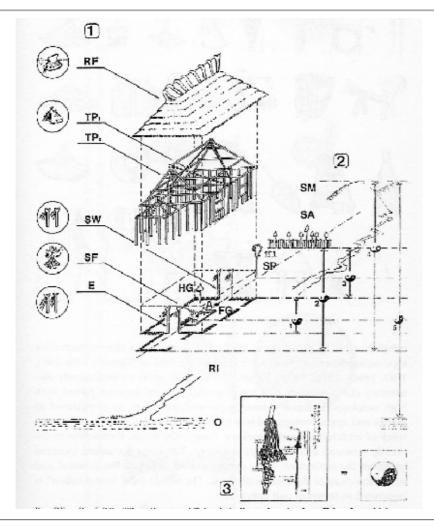


Fig. 14: Elements of the Ainu House and the evaluation of the landscape. The whole environment is interpreted in the framework of a categorically polar system from inside out, from the domestic domain into the whole valley with the dichotomy of upper river and lower river as main backbone. The whole system is structured according to the sacred signs of the Ainu, the inau (see drawing Nr. 3)

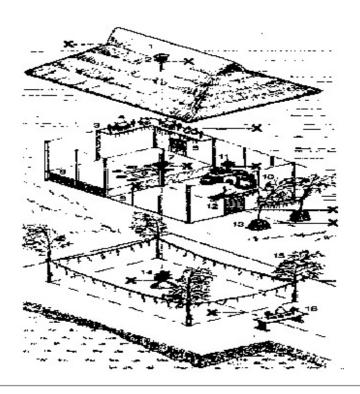


Fig. 15: Schematic representation of a simplified basic type of Japanese farm-house with cultplaces and cult-markers. The plan of the house is defined by the more ancient system of 'semantic architecture' which appears in the framework of cyclic cultic festivals as 'decoration'. The original meaning as territorial demarcation is no more understood and thus (as an Eurocentric projection) attributed to religion.

Similarly in the case of the Japanese farmhouse (**Fig. 15**). Semantic architecture defines the main points of the house, altar and entrance. The altar defines the upper part. Ritual behaviour, living and sleeping are focused on it. And the lower part with its pragmatic aspects of daily life like cooking and other work is related to the gate markers or also special markers for the hearth and the later established sacred column in the centre of the house. Note that in spite of considerable variations this basic pattern is common to all house traditions throughout Japan (Egenter 1982b, 1991b).

(4) Sedentary Architecture: settlement core complex

In Neolithic times semantic architecture developed into a very important system which supported sedentary life by a new disposition, which we called 'settlement core complex'. Core complex is a new function of semantic architecture on the higher level of settlement or village. Demarcation is initially set up by a founder at the borderline between wilderness and intended plantation surfaces. Nuclear border means its polar code is projected outwards. It defines the 'sacred territoriality' of the settlement, the functional and non-functional use of the surfaces and socially the power structure related to the founderhouse. Its representant is chief of the village, head priest (owner of deity; deity = demarcation sign) and - with his kin - ruler of the territory. The local festival calendar is the archive of village history. The most important criteria of this system are the toposemantic dimension of the fibroconstructive signs, their cyclic cultic reproduction and the structuro-symbolic form of the nuclear border marker ('semantic architecture').

Categorical polarity of the demarcation was used as a model to organise time, plantation cycles, organisation of work, etc. as we can see it in the example of the Ainu. Due to its model function for environmental order, its aesthetic and harmonising significance in general, it produced high values. It became sacred or was called 'above' implying high ontological ('worldview'-) values (e.g. in Japanese: 'kami', high above, which, in contact with other cultures became 'deity' or 'god').

Thus, this system of 'nuclear borders' was highly effective because demarcations were within the settlement, thus protected. In its performance, that is, by **developing high values**, it managed to guarantee the existence and diffusion of sedentary agrarian village cultures in many different parts of the world.

The concept of categorical polarity became the allround method to harmonise artificial arrangements as well as the organisation of natural environments. On one hand, the cyclic renewal of the demarcation set up initially at village foundation by the village founder produced local time, the 'once' of the foundation reinstituted and physically enewed at the cyclic festival. And it brought social hierarchy: the representative of the founderhouse became local ruler and priest at the cyclic rite as 'owner of the deity'.

In other words, 'semantic architecture' and how it is used in certain conditions lets us reconstruct the process of the formation not only of agrarian society, but also of its important characteristics, like religious rites, 'mythical time', 'creation of (local) world by gods', formation of social hierarchy and so on. What we called 'settlement core complex' must have formed the nucleus of a cultural system which we perceive in neolithic and metal periods as general characteristics of widespread sedentary village cultures,

(5) urban architecture: early city-states (monumentalisation of fibrous village cultures)

We can also reconstruct how this 'settlement core complex' was used in the formation of earliest city-states and their hierarchical social structure. We can understand the role played by monumentalised temples for social control and centralisation. We can understand the formation of earliest script based on semantic architecture (Egenter 1984, 1989f*). But this transition is not discussed here. It is outlined in various other papers, e.g. in: 'Rural/ urban dichotomy' 1998g*. The basic new insight consists in the fact that the culture of early civilisations were not the great ingenious inventions as described by historians, art historians and archaeologists (e.g. Spiro Kostoff 1977). The developments can rather be characterised as a superseding process in which the new upper social class materially transformed or 'monumentalised' the 'fibroconstructive' nondurable culture of agrarian villages of neolithic and metal age origins. The new durable elements were transformed into instruments to exploit the agrarian population and to control extended surfaces from central temple systems (sacrifice transformed into tax).

In the following we will show some examples of our new systematic approach. We will indicate the interaction, the close

relation between 'semantic architecture' and 'domestic architecture' (or 'vernacular architecture').

Continute to part 3
Notes
Bibliography

continued (part 3/ end)

5. SOME ILLUSTRATED EXAMPLES

Roofs, columns and domestic plans, porches and windows - some indicators on a global scale

Architectural forms showing interactions between semantic and domestic architecture can be gathered all over the world. Hundreds of books could tell us of built forms of this type (Buschan 1922, 1923; Karutz 1925, 1926; Fillipetti 1978, 1979; etc.). Evidently we have to do with a cultural substrate that has not been shown with conventional methods. Very likely semantic architecture and its spiritual or aesthetic product which we call "categorical polarity" was a common phenomenon in pre-agrarian and agrarian rural societies and as such has influenced material culture including architecture, vernacular as well as monumental and also concepts of environmental organisation, modes of thinking and so on.

However we want to limit ourselves on some examples taken from the Encyclopedia of Vernacular Architecture of the World (EVAW, 1997) and from Roxana Waterson's book 'The living House' (1990), just to give a very limited introductory impression. The following set of themes and pictures may give an impression of this interaction.

Circular roof and plan Fig. 16, 17

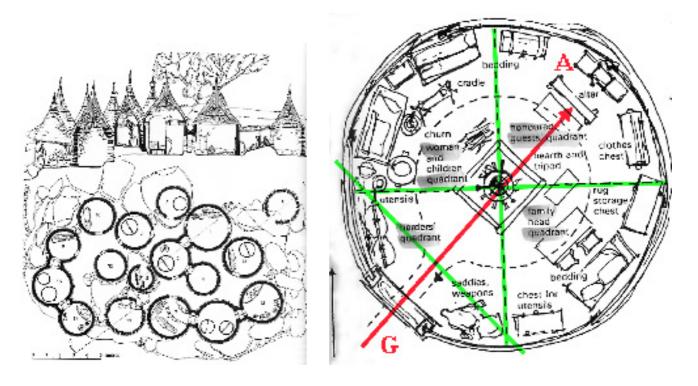


Fig. 16: The circular roof and the circular house - primary shelter types. If we assume that semantic architecture provided prototypes of huts and houses we can show it best in several African regions where round roofs still have a great independence above wall-subconstructions of daub or clay. The roof-cones are somehow superposed from outside without forming a synthesis between both. Often these superimposed roofs form also a shelter for food or other important materials indicating that they served primary as storage device. (Matakam farm, Cameroon highlands, EVAW 3:2068)

Fig. 17: Another indicator of a close relationship between semantic and domestic architecture are circular plans, for instance in this example of a Yurt. The furniture has no genetic relation with the structure of the Yurt. It resembles an independent 'collection' of objects. In spite of this lack of structure there is a clear spatial order in the Yurt which is outlined by the entrance gate (G) and its opposite place characterised by an altar (A). The altar is the placemaker, the 'survival' of the semantic level. The whole inside space of the Yurt is focussed on it as a "conditio sine qua non". Close to the altar, beyond the right angle axis through the centre, honorific seats are located. Below, near the entrance, are the rather pragmatic elements of daily life. We call this the 'horizontal polarity scheme' implying that it had its origins from a 'vertical polarity scheme' (semantic architecture) being turned down into the horizontal dimension. Note that facing the altar, at the left of the entrance is the female domain, at the right the male domain. Note also that the Yurt always has preserved something from the semantic prototype in regard to its strong circular roof form and the light opening in the centre above. The wider 'cosmos' enters into the rather dark room inside as light, sunlight, a relation which does not need great knowledge of the endless extension of the universe. Evidently the distinction of polar categories either vertically related to house forms or horizontally in the plan are not borrowed from cosmic experiences or analogies with the human body, they are rather to see in the framework of a constructive tradition and its structural results. They are to be seen as a continuity between semantic and domestic architecture. (Plan of Mongol Yurt, EVAW 1:61)

Protruding elements and categorical polarity: roofs and columns Fig. 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28

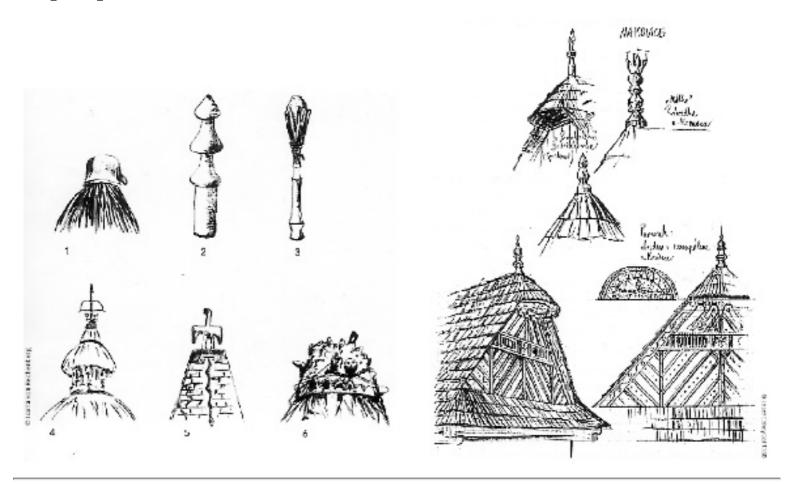


Fig. 18, 19: Finials in Africa and Europe. The roof was always some sort of a vertical axial indicator, an 'Axis Mundi', but not in the sense of Mircea Eliade. Not from 'macro-' to 'micro-cosmos' but inverse, from the local environment, from the locally developed very ancient order (cosmos) towards the large, towards the greater order of the cosmos. In a system of categorical perception this cosmic view could consist of just some few categories like 'light' contrasting with the dark rooms inside the house (like in the Yurt). (EVAW 1:507, :506)



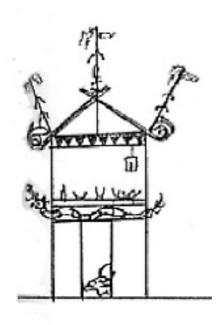


Fig. 20: Manyfold and Unity. The lower part is clearly defined as a unit, the upper part representing manyness. A four-gabled roof protruding into the upper space, each gable with a further PRO-Portion, head and protruding horns. The form equals the categorically polar scheme. It is focussed on harmony on the elementary categorical level. Karo Batak head-house (geriten) in the village of Lingga 1986; Waterson 1990:222).

Fig. 21: Construction rite. In many cultures (as distant as they may be) the completion of the constructive part of a new house is feasted in the framework of particular traditional rites. At the occasion of these rites the completed construction is richly decorated. However 'decoration' does not simply mean a general human behaviour related to ornament. Rather this decoration is a revival of the aesthetic principles of categorical polarity developed in fibroconstructive ages. The rigid technical construction is contrasted with naturally living plants as PRO-portions. A 'Maximum Contrast Form" comes up from the depth of times into the present. (Psah Pali Tiwah, drawing of housebuilding rite of Ngaju Dayaks, central Kalimantan, Indonesia; EVAW 1:555)



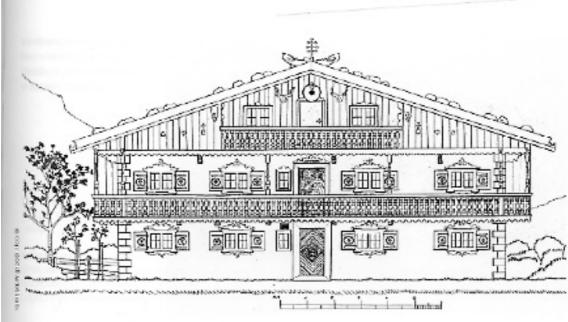


Fig. 22: Dynamically rounded ends protruding over the construction in the strict sense, PRO-portion into the air. Such non-functional arrangements are a widespread phenomenon in many different cultures, a fact which can only be understood from the deeper levels of the constructive tradition. (Cellar in Shilovici, Slonim district, Ponemanye, Belarus; EVAW 2:1437)

Fig. 23: Bavarian House, Rottach, Tegernsee. Conventionally such phenomena manifested in very different cultures, were explained as casual coincidences, or due to some local inventions. If however we manage to show that architecture has produced the models for geometry and not inverse, the concept of architecture as a creator of culture might become more plausible.

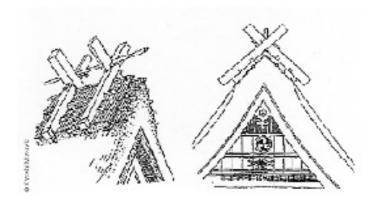


Fig. 24: X-formed crossbeams (chigi) on reed roof ridge of Japanese farmhouses. On religious buildings of Shinto the signs show cosmic polar symbolisms. In some regions gender symbolism is related to these rural ridge decorations. In other regions they strongly are related to social status. In Japan the intimate evolutionary relation of semantic architecture with cultic practice and religious concepts is very clear: aesthetics and the cult are very close. (EVAW 1:509)







Fig. 25: Column within palace. The pillar inside is richly decorated and ends outside above the roof with a particular small biheaded roof. It is evident that this type of symbolism is rooted in architecture itself. At right the central part of the column with carvings. (Council house at Pematang Purba 1986; Waterson 1990:219-21)





Fig. 26: During a ceremony within the house, the ancestors pillar is 'decorated'. Decoration is an often used word for such events but there is much more to it. The column is covered with a newly produced cover which, however, using a very old technique, implies high age. This indicates that the factual history of the house is still vital at the cultic festivals. (Waterson 1990:89)

Fig. 27: Toba clan origin-house at Simanindo, Samosir Island, Sumatra 1986. In the framework of Waterson's naturalistic (and fairly regressive) interpretation of the 'house as 'living being' phenomena like the symbolic column in the central axis of the house or corresponding festivals are not of much interest, unfortunately. (Waterson 1990:133)



Fig. 28: Column of chief's house in Bawömataluo, Nias. Giving status to ancient ancestors and founderfamilies we find beautifully carved columns in Indonesian houses. It seems that they have more to tell us than simply their decoration. Are they autochthonous traditions of local power symbols, traditional 'constitutional archives'? Are they developments from 'semantic architecture' which have perennially moved into the ancestors type of ancient houses? (Waterson 1990:110)

Columns and categorical polarity Fig. 29, 30





Fig. 29: Many vernacular traditions show columns ending in some sort of clouds at the upper end or as bundeled columns with plants protruding freely above some explicit binding of a cylindrical shaft. Evidently the column as a symbol of categorical polarity is much wider than merely a Greek 'invention'. We must assume that it is basically a primary form of 'semantic architecture' which survived into domestic architecture, mainly because of its immanent philosophy of the harmony of opposites. (Column and capital, house in Sikkim, India; EVAW 1:503)

Fig. 30: Columns with plant capital provide status for the hall of the house (Decorated inside of a house in Pelion Thessalia; EVAW 2:1497)

Door and Window the dialogue between semantic and domestic architecture Fig. 31, 32, 33, 34, 35



Fig. 31: A very simple entrance with roof protection. But see the wooden supports. Its inner lines describe, like a ghost, an empty form, through which we enter the house. Is there some sort of an ancient 'spirit' in the forms related to houses, a spirit which we should become aware before our modern world gets totally rationalised? (Entrance doorway and porch of Norwegian farmhouse, Norrland EVAW 1:411)





Fig. 32: The entrance door alludes to polarity by representing the upper part of the gate as a plant ornament, the lower part is shown as massive columns but merely with colour. Zudro, Moravia EVAW 1:420) **Fig. 33:** The door in the right picture gains its importance from the arch planted above the bipartite (or tripartite if the midle part is added) but rectangular gate. (Door lintel, Oppdal Switzerland EVAW 1:517)





Fig. 34: Porches from Finland. They show different forms and styles (Renaissance and Classic), but the articulation of the elements are very similar. Dynamic categories in upper PRO position in regard to the portion



Fig. 35: Not only the gate, every window is shown here as an individual 'building in the building'. The upper part of each window is shown with a centrally protruding emblem. The door uses a painted arc. Style could be whatever. What counts is the autonomous harmonisation of each part. (Tyrolean farmhouse with painted decorations, Leutasch; EVAW 2:1253)

Church architecture Fig. 36, 37, 38

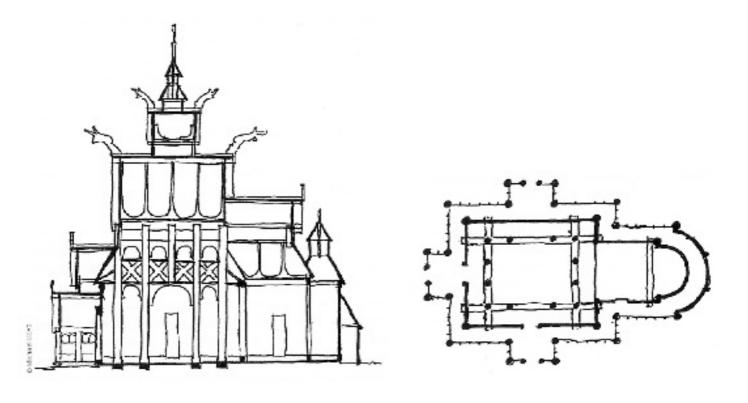
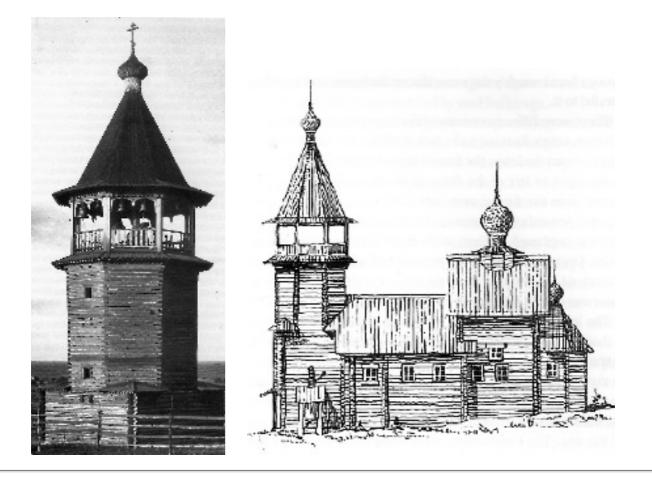


Fig. 36: Plan and section of Norwegian stave church, Borgund type. Not only the artistically carved protruding gable figures are speaking clearly of PRO-portion, doors, windows and other elemtens clearly speak of plant ornament and other fibrous network. EVAW 1:729



Fig, 37: Christian church architecture has strongly preserved semantic characteristics with its architecture,

particularly with its various types of bell-towers. In Russia this combines with a strongly conical roof and the corresponding symbolic onion type of top and cross. Octagonal belfry on a square base Chukhcherma on the Northern Dvina, near Archangel EVAW 2:1415

Fig. 38: Similarly: Typical South Karelian church with incorporated belfry. EVAW 2:1409

Categorical polarity and facade Fig. 39



Fig. 39: Amsterdam in its centre and many other dutch towns owe their intimate character to a great extent to the rigidly standardized and narrow multi floored facades dominated by very differenciated and highly elaborated gable designs. Modern architects like Bakema and others had no sense for this deep rooted architectural or toposemantic expression and fabricated their industrialised conveyorband modernism into the same landscape where it daily frustrates peoples minds, makes them massproduced schemes. Canal houses in Amsterdam; EVAW 2:1369

Islamic architecture Fig. 40, 41, 42, 43





Fig. 40: The art historian would coin this arched doorway as islamic style. But, formally the contrast of the arch with its black and whitestones and the static lower part sends a strong signal beyond the classification of style. (Horseshoe arch doorway, Tunis EVAW 1:555)

Fig. 41: Lower parts are functional, geometrical rectangles, whereas the upper parts are dynamically curved and these curves are further dynamised by filigran works. The fibroconstructive reminiscence of these 'decorations' is very clear. Note that this principle is not applied to individual elements like windows and doors. It appears also applied to the whole wall. Note also the ambivalent reaction of the modern industrialised observer: there is a certain nostalgy in regard to these decorations. Tourism lives of this (Courtyard facade of a Tiohama house EVAW 2:1444)





Fig. 42: We can assume that in regions where strong pre-islamic traditions are still felt, they influenced present forms either in the sense of fibroconstructive patterns or related to polar forms giving meaning and status to

gates, doors and windows, particularly to those that are part of the facade. (San'a old town in 1976; EVAW 2:1459)

Fig. 43: Though city walls are mainly built for defensive reasons, they still express the principle of PRO-portion with the light structures protruding above the top of the walls. Note the dynamic formdetails on top of the walls. Note also that most window frames of the houses behind the walls are rectangular at the bottom and dynamically arched at the top. (San'a houses within the old city walls; EVAW 2:1444)

6. CONCLUSIONS

In contrast to the conventional method of the anthropologist who works ethnographically in the field surveying a traditional society, using interviews of the inhabitants of a house or a village to obtain explanations for the forms of their vernacular architecture, we have outlined a different concept which reconstructs the architectural forms and their expression as an immanent principle of the deeprooted architectural tradition itself. It is basically a physically expressed aesthetic principle which is autonomous in its origins (categorical polarity) and is traditionally preserved in many forms and expressions because of its harmonious model character. This might change the way we understand vernacular forms and their expressions.

In our first part we have critically discussed conventional interpretations of anthropology. We questioned the assumption that socio-cultural concepts were basic, that symbolisms related to the human body or gender were primary. We doubted Eliade's proposition that microcosmic interpretations were miniaturisations of macrocosmic experiences. We also doubted that such results of interviews might be taken as culturo-specific expressions and metaphors. This attitude is not aware of the cognitive processes involved. How is natural form perceived and integrated into human consciousness? The conventional anthropologist considers nature as a primarily given entity. <1>

If however we are assuming a deep rooted constructive tradition, a 'soft prehistory' which did not manifest itself in our conventional system of prehistory, things look different. All these often phantastic vernacular forms are not just 'symbolic arrangements' or particularly 'decorated' types in the conventional Western sense of art theory anymore. They are rather traditional 'survivals' in the sense of surviving formal concepts, survivals of a 'pre-monumental' architectural substrate that was materially not durable and therefore went through the meshes of our historical perception. Forms of the semantic level have been preserved into the domestic level, even though material conditions or constructive capacities in general have greatly changed. Or, a particular form of semantic architecture had gained an important meaning within a cult system (e.g. founder's cult, ancestor cult), therefore the symbolic core was kept intact while new functional parts were added.

Such survivals can also be most impressive if the texture of a durable object still preserves the primary fibroconstructive conditions as part of a building constructed with more evolved materials and building techniques. This can be seen in many parts of the

world and on various technical levels. It is the case if the texture has become an indicator of the primary condition of the form and wants to communicate the value of this stage. <2>

One of the most impressive cases are the stave churches of Northern Europe which, besides their impressive PRO-portions, show rich 'decorations' of weaving work in their columns as well as in their doors and door frames. The same principle of textural survival appears also in the 'cannelura' of Greek columns. The well-known texture has preserved the structural condition of long gone times when such columns were still used as free standing symbols and reed bundles (Semper 1878, Andrae 1930, 1933). Note that the interpretation of the capital changes completely. It has nothing to do with support in the static sense. It is primary an ontological model showing the harmony of two contrasting parts, what we called categorical polarity or PRO-portion. Similarly the bundle-pillars of ancient Egypt tell us clearly that they were copied from fibroconstructive prototypes. Evidently they are not 'inventions' of designers as Spiro Kostoff maintained. Their prototypes have to be searched in predynastic village cultures. They were cyclically rebuilt fibrous columns very likely serving as topo-semantic markers in the framework of local cults in which their character as ontological model played a role (Egenter 1994a). Highly trained sculptors working for the 'eternally durable' buildings of the Egyptian pharaohs have monumentalised them and integrated them into temples.

Similarly the nicely decorated plant columns or other types of structures associated with many Indonesian house traditions are related to the territorial founder system. Some have remained fibroconstructive and outside the houses being used in the framework of cultic traditions, or have changed materiality and were moved into the house in the case of ancient founder families. It would be an important task to gather materials on this topic. Cults and rites still performed among various ethnic groups in Southeast Asia showing 'semantic architecture' in their core should urgently be studied. Anthropologists have greatly neglected these materials either because they had been devalued by christian influences (fetish, spirit hut etc.) or for reasons of time. Studying the festival calendar of a traditional society implies living with them during at least one or a couple of years (Egenter 1994a).

Thus, all these 'symbolisms' are not 'invented' as some interviews in conventional anthropology may suggest. Evidently they are part of a very deeprooted tradition, which has developed its own ontological values over long times. These values can be 'read' by studying local cyclic rituals. Sacred demarcations within or outside the house are ritually renewed. But, why are they so valuable for local peoples? Since neolithic times they became important in regard to sedentary life. Agrarian society began to accumulate wealth, developed village culture. As part of a territorial system the demarcations protect the agrarian habitat by serving as a socio-toposemantic archive of the local past and of local social power. (Egenter 1980, 1982, 1994a, b)

Consequently, all these symbolisms have their clear immanent logic providing a basic order for daily life of a family, of several families or of a larger sedentary group. The main purpose of this order is to express harmony, to provide an ideal model for harmonious relations. And - contrary to what many, indoctrinated with European cultural

values might think, these symbolisms are not 'simple' or 'primitive'. There is a very clear and harmonious overall concept behind all this. It could even be considered as an important philosophical system. It supports a worldview in which "all is one, and one is all" in regard to aesthetic and ontological harmony ('Hen kai pan' in ancient Greece).

Vernacular architecture was composed to express harmony from smallest details to larger units and the whole. Vernacular settlements were composed in view of expressing harmony from the intimate environment of the house, the habitat to the larger units of a valley or a region. We become aware of a great importance of tradition in the physical sense. There is a shocking continuity in many things which we are not aware, because our analytical mind has lost the capacity to read categoricaly polar traditions. Western concepts of absolute spirituality and other rationalisms are projected on other cultures <3>. Maybe we still have this need for a harmonious continuity within ourselves. Is it not surprising how modern urban people still feel ar ease in traditional vernacular architecture? Rural tourism even searches for such conditions, whereas, on the other hand, many feel frustrated in rational modern environments. Is there a fundamental difference because modern spaces are universalistic, rationalistic, devoted to homogeneous space concepts?

Are human beings of the future prepared to live in the homogeneous space of physics, of the universe? Are we pleased with the space concepts modern architects and urbanists borrowed from astronomy and physics? Or should we try again to understand the human heritage, the categorically polar and harmonious expression of vernacular architecture? Are we happy with the uniform geometry type of skyscrapers and skylines rationalistically deformed architects project into our cities and villages? Or do we want to rediscover the traditional way of harmonising architectural forms as a model of balanced human lives? If we discover that architecture to a great extent created man and culture, there might be reasonable motives for studying vernacular architecture more seriously than this is done today.

Notes
Bibliography
Back to part 1, 2

BIBLIOGRAPHY

* indicates Internet publications found under URL indicated or under ''Research Series Online'' at the following following URL: http://home.worldcom.ch/~negenter/005_ResSerOnline.html

Andrae, Walter

1930

Das Gotteshaus und die Urformen des Bauens im Alten orient (Studien zur Bauforschung 2). Hans Schoetz u. Co., Berlin

1933

Die ionische Säule, Bauform oder Symbol?In: Studien zur Bauforschung, Heft 5. Verlag für Kunstwissenschaft, Berlin

Bollnow,

1963

Mensch und Raum. Kohlhammer, Stuttgart

*http://home.worldcom.ch/~negenter/010K_ImploBollnDEF.html

Bourdieu, Pierre

1972

La Maison Kabyle ou le Monde Renversé, Esquisse d'une Théorie de la Pratique. Droz, Genève/Paris

Buschan, Georg

1922/23

Illustrierte Voelkerkunde. 2 vols. Strecker & Schroeder, Stuttgart

Cunningham, Clark E

1964

Order in the Atoni House. Bijdragen tot de Taal- Land- en Volkenkunde, vol 120

Durckheim

1925

Les formes elementaires de la vie religieuse. Felix Alcan Paris

Egenter, Nold

1977

Yui to hi (Gebinde und Feuer). Dokumentarfilm (colour- sound, 16mm, 26 min.; über den Bau altertümlicher Kultmarken in Japan)

1979

Symbole aus Schilf und Bambus. Lebendige Schinto-Tradition in Japan. Neue Zürcher Zeitung, 25/26. Aug., Nr.

196

1980a

Bauform als Zeichen und Symbol. Nichtdomestikales Bauen im japanischen Volkskult. Zurich, Publikation im Rahmen der Ausstellung 'Göttersitz und Menschenhaus' an der ETH Zurich.

1980b

Dwelling in a Cosmos. In: Swissair-Gazette 4/1980

1981a

Folkfestivals as a Gateway to Japanese Culture (and other related articles) Swissair-Gazette Nr. 8:1,7-17,22-26

1981h

The sacred Trees around Goshonai, Japan. A contribution of building ethnology to the subject of tree worship. Asian Folklore Studies 40 (2), :191-212

1982a

Sacred symbols of reed and bamboo: Annually built cult torches as spatial signs and symbols. In Swiss Asiatic Studies Monographs, vol. 4 Zürich: Peter Lang Berne.

1982b

Kaninchenstall oder Tempel? Architekturanthropologische Gedanken zum japanischen Wohnhaus und zum Raumverbrauch der Europäer. In: 'das wohnen', Zeitschrift für Wohnungsbau 12, Zürich

1983

Affen Architekten, Umriss 2, 2-9, Wien

*http://home.worldcom.ch/~negenter/00AA2_Apes_Nests0_TT.html

1984

Kunsthistorische Architekturtheorie: auf Sand gebaut Umriss 1/2, 10-23

*http://home.worldcom.ch/~negenter/456aOrigScript_Int_E.html

1986

Software for a soft prehistory; structural history and structural ergology as applied to a type of universally distributed 'soft industry': sacred territorial demarcation signs made of non durable organic materials. The World Archaeological Congress, (precirculated papers), Southampton and London *http://home.worldcom.ch/~negenter/00AA2 Softw 0 Int.html

1988

Il primitivo storico ed il primitivo nell'anthropologia culturale / Le primitif historique et le primitif dans l'anthropologie culturelle. In: Gian-Carlo Cataldi (ed.) > LE RAGIONI DELL'ABITARE<, ALINEA editrice, Firenze, 1988

1990a

Architectural Anthropology: Why do we need a General framework? Paper read at the Second International Conference of the International Association for the Study of Traditional Environments, University of California, Berkeley, Oct. 4-7

1990b

Rivestimento - Incrostazione - Metabolismo della forma nell'opera die Gottfried Semper e Applicazione della sua Tesi principale nella recente Ricerca Antropologica sull'Architectura in Architettura in Pitra a Secco. Atti del primo seminario internationale 'Architettura in Pietra a secco, Noci Alberobello, 27-30 Settembre 1987:141-162. Schena Editore, Fasano (BR)

1990c

Evolutionary Architecture: Nestbuilding Among Higher Apes. In: >International Semiotic Spectrum<, A Publication of the Toronto Semiotic Circle, Nr. 14, Sept. 1990

1990d

Architectural Anthropology. In: >International Semiotic Spectrum<, A Publication of the Toronto Semiotic Circle, Nr. 14, Sept. 1990

1991a

In der oberen Hälfte unserer Stube wohnt der Bär, der Herr der Wildnis. Haus und Weltbild der Ainu. In: Thomas Kaiser (ed.) >Bärenfest< Vom Dialog mit der Wildnis. Die Ainu Hokkaidos, Japan. Völkerkundemuseum der Universität Zürich, Zürich (*engl. version: The Master of the Wilderness, the Bear lives in the upper part of our Home -: - House and world-view among the Ainu in the North of Japan [pdf format])

1991b

Ie - der homogene Raum und der Archetyp des polar harmonischen Raums. Kulturanthropologisch vergleichende Betrachtungen zum traditionellen japanischen Wohnhaus und zum westlichen Konzept >allgemeinmenschliche Bedürfnisse<. (Ie - the concept of homogenous space and the archetype of polar harmonious space. Interculturally comparative notes on the traditional Japanese dwelling and the Western concept of >human needs<).In: Deutsche Bauzeitung, Dezember 1991.

1992

Architectural Anthropology - Research Series, vol. 1: The present Relevance of the Primitive in Architecture (trilingual edition: English, French, German). Lausanne, Editions Structura Mundi

1994a

Architectural anthropology - Semantic and Symbolic Architecture. An architectural-ethnological Survey of One Hunderd Villages of Central Japan. Lausanne Editions Structura Mundi.

1994b

L'ici domestique et l'au dela imaginaire. Une typologie anthropologique des conceptions de l'espace. In: Pierre Pellegrino (ed.): Figures architecturales Formes urbaines. Actes du congres de Geneve de l'Association internationale de semiotique de l'espace. p. 303-332, Anthropos, Geneva

1994c

Semantic Architecture and the Interpretation of Prehistoric Rock Art: An Ethno(pre)historical Approach." Semiotica 100, 2/4:201-266

1995

Antropologia arquitectonica: un nuevo enfoque antropologico. In: Hacia una antropologia arquitectonica, edited y Mari Jose Amerlinck :23-128. Guadalajara: Universidad de Guadalajara

1997

Auf dem Weg zu einer Anthropologie der Architektur. In: Baubiologie 5/97

1998a

Architettura semantica e simbolica (Semantic and symbolic architecture) - L'architettura etnologica in cento villaggi del Giappone centrale (An Architectural-ethnological Survey into 100 villages of Central Japan). In "Spazio e Società" (space and society) n. 83, July/September 1998:74-85

1998b*

The Birth of Curtainwalls from the Concept of Style - Nietzsche's Satyr, Woelfflin's analytical scalpel and the banality of pure geometry - The double roots of tectonic form

*http://home.worldcom.ch/~negenter/001Woelfflin

1998c*

The Historicism of quantified Proportion - Critical objections to Wittkower's "Architectural Principles in the Age of Humanism" - Outlining a "theory of relativity" of premodern architectural form

 $*http://home.worldcom.ch/~negenter/00AA2_WittkoHist_0_Int.html$

1998d*

Dagobert Frey and the Access-Place Scheme - A global view on architectural principles

*http://home.worldcom.ch/~negenter/250a_Frey1aaa_TT.html

1998e*

Anthropology of Aesthetics: Culture As Identity - Polarity in Art, Lifestyle and Ontology - Japan, a visual and theoretical model

*http://home.worldcom.ch/~negenter/418aDundeeTT.html

1998f

Semantic Architecture and the birth of Script - A theory of the origins of writing based on architectural anthropology

*http://home.worldcom.ch/~negenter/456aOrigScript_Int_E.html

1998g*

The Urban/ Rural Dichotomy - Do the Humanities live with historistic speculations? A final outlook on Megalopolis- problems

*http://home.worldcom.ch/~negenter/469aDichotomyE_Intro.html

2001

The Deep Structure of Architecture: Construction and Human Evolution. In: Mari-Jose Amerlinck (ed.): Architectural Anthropology. Bergin & Garvey, Westport, London

2002

Theory - and for Whom? - Some notes regarding the construction and function of theory/ies in the field of vernacular architecture. In Borut Juvanec (ed.): Program of the 12th Conference on Vernacular Architecture ALPS ADRIA 'Economy and Architecture - Economy Architecture, Ljublijana

2003

Wie lebten Paläo-Sibirische Wildbeuter in ihrer Umwelt? Architektur- und Habitat-anthropologische Rekonstruktionen zu räumlichen, ästhetischen und metaphysischen Aspekten der Ainu-kultur im Norden Japans. In: Andreas Pastoors and Gerd-C. Weniger (ed.): Höhlenkunst und Raum: Archäologische und architektonische Perspektiven. Wissenschaftliche Schriften des Neanderthal Museums Bd. 3; Neanderthal Museum,

Mettmann:119-134

Eliade, Mircea

1959a

Cosmos and History: The Myth of Eternal. Return. Harper & Row, New York

1959b

The Sacred and the Profane. Harcourt, Brace & World, New York

Fillipetti, Herve and Janine Trotereau

1978

Symboles et Pratiques Rituelles dans la Maison Paysanne Traditionelle. Berger-Levrault, Paris

Fillipetti, Herve

1979

Maisons paysannes de l'Ancienne France, vol 1: France Septentrionale. Berger-Levrault, Paris

Frey, Dagobert

1947

Grundlegungen zu einer vergleichenden Kunstwissenschaft. Raum und Zeit in der Kunst der afrikanischeurasischen Hochkulturen. R. M. Rohrer Verlag, Wien

Griaule, Martin and Germaine Dieterlen

1954 (1963)

The Dogon of the French Sudan(Mali) In:C. Daryll Forde:African Worlds, Studies in the Cosmological Ideas and Social Values of African peoples. Oxford Univ. Press, London

Karutz, R.

1925

Die Voelker Nord- und Mittelasiens. Atlas der Voelkerkunde Bd. 1, Franck'sche Verlagshandlung, Stuttgart 1926

Die Voelker Europas. Atlas der Voelkerkunde Bd. 2, Franck'sche Verlagshandlung, Stuttgart

Kapfhammer, G.

1977

Brauchtum in den Alpenländern. Ein lexikalischer Führer durch den Jahreslauf. Callwey, Munich

Kerschensteiner, J

1962

Kosmos. Quellenkritische Untersuchungen zu den Vorsokratikern. Beck, Munich

Kostof, Spiro

1977

The Architect - Chapters in the History of the Profession. Oxford Univ. Press, Oxford

Levi-Strauss, Claude

1982

The Way of the Masks. Univ. of Washington Press, Seattle

Morgan, L. H.

1881 (1965)

Houses and House-life of American Aborigines. Univ. of Chicago Press, Chicago

Oechslin, Werner

2003

http://www.rereth.ethz.ch/arch/geschichte/oechslin.proj_overview.html

Oliver, Paul (ed.)

1997

Encyclopedia of Vernacular Architecture of the World. 3 vols. Cambridge Univ. press, Cambridge

Rapoport, Amos

1969

House Form and Culture. Prentice Hall, Englewood Cliffs NJ.

Rykwert, Joseph

1972

On Adam's House in Paradise - The Idea of the Primitive Hut in Architectural History. Museum of Modern Art, New York

1996

The Dancing Column - On Order in Architecture, MIT Press, Cambridge Mass. US

Savage-Rumbaugh, E.S., S.L. Williams, T. Furuichi and T. Kano

1996

Language Perceived: Paniscus Branches Out. In Great Apes Societies, edited by W.C. McGrew and T. Nishida: 173-95, Cambridge Univ. Press

Schefold, Reimar

1997

'Anthropology'. In: P. Oliver (ed.) Encyclopedia of Vernacular Architecture of the World, vol 1:6-8. Cambridge Univ. Press, Cambridge

Rassers, W. H.

1940 (1982)

Panjii, the Culture Hero: A Structural Study of Religion in Java. The Hague, Martinus Nijhoff

Semper, Gottfried

1878

Der Stil in den technischen und tektonischen Künsten oder praktische Aesthetik. 2 Vols. München

Waterson, Roxana,

1990

The Living House - An Anthropology of Architecture in South East Asia. Oxford University Press, Singapore,

Wernhart, K.

1981

Kulturgeschichte und Ethnohistorie als Strukturgeschichte. In Grundfragen der Ethnologie. Beitraege zur gegenwaertigen Theorien-Diskussion, edited by W. Schmied-Kowarzik and J. Stagl. Dietrich Reimer Verlag, Berlin

Yerkes, R. W. and A. W. Yerkes 1929

The Great Apes. Yale Univ. Press, New Haven CT

Back to main text 1, 2, 3

Notes

NOTES

Waterson (1990) for instance projects a wide range of naturalistic concepts on the Indonesian house to make it 'living'. But what if women gained their ideas about the womb in analogy to some storehouse or loft? Evidently there is no etymology in anthropology, we can not provide evidence. But in Indo-European etymology there are many words which we take for granted in regard to their naturalistic physical background, but then might be fairly surprised if we become aware of their technical origins.

2 Unfortunately the art historians had no understanding for this ergological condition of form. They often invented speculative terminologies which covered up insights into the fibrous prototypes of monumental forms (s. Gottfried Semper 1878 and Walter Andrae 1930, 1933).

There is a tremendous continuity in human ways to structure space in categorically polar ways. There are a lot of examples in our premodern world which still reflect categorical polarity of space organisation. Surprisingly the churches have conserved the horizontal polarity scheme in most elementary and pure ways: the spatial unit of the community in the nave is defined by the altar on one side and by the gate or entrance facade on the other side. This scheme focused on some ontological value appears also slightly varied in many other types of institutional spaces, like throne-hall, court, concert hall, theatre, etc. . Historical cities like Paris or Rome have preserved categorical polarity in their value focussed axial systems, e.g. the 'Champs Elisees' which focussed on the Louvre (or, the monastery behind) having its most recent 'accumulation' in 'La Defense' (Frey 1947, Egenter 1998d*).

Back to Maintext 1, 2, 3 Bibliography